

Legg Mason Stocks and Shares ISA ISA Transfer Application Form

1. PERSONAL DETAILS

Surname (Mr/Mrs/Ms/Miss/Other)

Full Forename(s)

Permanent Residential Address
(we are unable to accept a c/o or PO Box address)

 Postcode

Email

Contact Telephone Number

Date of Birth

NI Number

I do not have a National Insurance Number (tick box)

You should be able to find your NI Number on a payslip, form P45 or P60, a letter from HM Revenue and Customs, a letter from the DWP, or a pension book.

2. INVESTMENT DETAILS

I direct the Plan Manager to transfer my ISA investment investing in the funds at the proportions indicated below.

Fund	Percentage
Legg Mason Global Blue Chip Bond Fund (Inc)	<input type="text"/> %
Legg Mason Global Multi Strategy Bond Fund (Inc)	<input type="text"/> %
Legg Mason Global Equity Income Fund (Inc)	<input type="text"/> %
Legg Mason Global Equity Income Fund (Acc)	<input type="text"/> %
Legg Mason UK Equity Fund (Acc)	<input type="text"/> %
Legg Mason Continental European Equity Fund (Acc)	<input type="text"/> %
Legg Mason US Equity Fund (Acc)	<input type="text"/> %
Legg Mason US Smaller Companies Fund (Acc)	<input type="text"/> %
Legg Mason US Smaller Companies Fund (Acc) (Hedged)	<input type="text"/> %
Legg Mason Japan Equity Fund (Acc)	<input type="text"/> %
Legg Mason Asia Pacific Fund (Acc)	<input type="text"/> %
Legg Mason US Equity Income Fund (Inc)	<input type="text"/> %
TOTAL	100%

Authority to Transfer your ISA

PLAN MANAGERS DETAILS

Name of ISA Manager

Address of ISA Manager

 Postcode

YOUR DETAILS

Surname (Mr/Mrs/Ms/Miss/Other)

Full Forename(s)

Account Number

I confirm this is a Stocks and Shares ISA

I confirm this is a Cash ISA

Tick here if you are transferring an ISA for the current tax year.

Please note that if you have a cash ISA or a stocks & shares ISA in this current tax year, you must transfer the full amount of either ISA.

Tick here if you are transferring an ISA from a previous tax year.

Please note you can transfer previous tax years investments in whole or in part. Please tick whether you are transferring your ISA in whole or part.

Whole Transfer

Part Transfer

Please state amount to be transferred if you would like a part transfer.

£ min £3,000

INSTRUCTIONS TO EXISTING MANAGER

I hereby authorise you to transfer the above to Legg Mason Investments (Europe) Limited. Please sell my holdings as specified in this Section and send a cheque payable to Legg Mason Investments (Europe) Limited, to Legg Mason Global Asset Management, PO Box 10649, Chelmsford CM99 2BD. I also authorise you to provide Legg Mason Investments (Europe) Limited with any information and accept from them any instruction regarding the above ISA. This is subject to Legg Mason Investments (Europe) Limited acceptance of my transfer application.

Signature

Date

Please complete a separate form for each ISA you intend to transfer from each different plan manager

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3. INCOME PAYMENT

For any fund with income share classes, please complete this section if you wish to receive income payments, which will be paid directly into your bank or building society account.

If this section is not completed, any income in respect of these funds will be reinvested.

Name of Bank or Building Society

Address

 Postcode

Account Name

Bank/Building Society account number

Branch sort code

Roll No (where applicable)

4. DECLARATION AND AUTHORISATION

All subscriptions made, and to be made, belong to me.

I am aged 18 and over.

I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year.

I declare that I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Legg Mason Investments (Europe) Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties. I hereby authorise Legg Mason Investments (Europe) Limited to be my ISA Manager and to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash, to make on my behalf any claims to relief from tax in respect of ISA investments and on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash. I declare that I have read and understood the ISA terms and conditions and agree to be bound by them and that the information given in this Application Form has been completed to the best of my knowledge and belief, and I will notify the ISA Manager if it alters.

I have read and understood and have retained a paper copy of the Simplified Prospectus for Legg Mason Funds ICVC and the associated ISA Terms & Conditions documentation.

I would like a copy of the full Prospectus for Legg Mason Funds ICVC.

(Please tick to confirm)

Legg Mason Investments (Europe) Limited is a data controller within the meaning of the Data Protection Act 1998. We will hold any personal information provided to us in confidence and in accordance with the Data Protection Act 1998. Any information that you supply will be used for the purposes of administering your investments, by Legg Mason Investments (Europe) Limited and by third party administrators who provide services to Legg Mason Investments (Europe) Limited. You have the right under the Data Protection Act 1998 to ask what information we hold about you by contacting us in writing to the address shown on this application form. Please tick this box if you do not wish to receive marketing communications from Legg Mason and its associated companies. *(Please tick to confirm)*

Signature

Date

YOUR FINANCIAL ADVISER'S DETAILS – to be completed by the Adviser

If you intend to receive initial commission please indicate the amount as a %. Advisers stamp – please quote unique agency number if known

PLEASE TICK THIS BOX IF YOU HAVE NOT GIVEN ADVICE TO YOUR CLIENT

5. APPLICATION CHECKLIST

Important: Please read the following as incomplete applications may have to be returned. Please note that we cannot accept c/o or PO Box addresses.

1. Have you completed the Authority to Transfer your ISA section?
2. Have you completed in full the Personal Details in section 1?
3. Have you supplied your date of birth and National Insurance Number (or ticked the box if you do not have a National Insurance Number)?
4. Have you completed in full the appropriate stocks and shares ISA transfer form?
5. Have you stated the fund(s) in which you wish to invest, and the % amount per fund?
6. If you want income paid out to you, have you completed the income payment section, and provided verification of the bank account (eg. paying-in slip or voided cheque)? If you do not complete the income payment section, your income, where applicable, will automatically be reinvested to purchase further shares.
7. Have you signed and dated the form where required?

Please return your completed ISA transfer form to:

**Legg Mason Global Asset Management,
PO Box 10649, Chelmsford CM99 2BD.**

If you have any questions relating to your application, please call our Investor Services Team on **0844 620 0013**.